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AMENDMENT TRANSMITTAL LETTER

Docket No.
E3331.0487Application No.
09/898,305-Conf. #2317Filing Date
June 29, 2001Examiner
Sara M. ChandlerArt Unit
3628

Applicant(s): Gregory D. Mills et al.

Invention: CREDIT HANDLING IN AN ANONYMOUS TRADING

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	Claims Remaining After Amendment	Highest Number Previously Paid	Number Extra Claims Present	Rate	
Total Claims	57	- 57 =	0	x	
Independent Claims	3	- 3 =	0	x	
Multiple Dependent Claims (check if applicable) <input checked="" type="checkbox"/>					Previously Paid
Other fee (please specify): Extension for response within third month					1,020.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT:					1,020.00

 Large Entity Small Entity No additional fee is required for this amendment. Please charge Deposit Account No. 50-2215 in the amount of \$. A duplicate copy of this sheet is enclosed. A check in the amount of \$ _____ to cover the filing fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge and credit Deposit Account No. 50-2215 as described below. Credit any overpayment. Charge any additional filing or application processing fees required under 37 CFR 1.16 and 1.17.
Joseph W. Ragusa

Dated: November 3, 2006

Attorney/Agent Reg. No.: 38,586

DICKSTEIN SHAPIRO LLP
1177 Avenue of the Americas
41st Floor
New York, New York 10036-2714
(212) 277-6584I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EQ640526873US, on the date shown below in an envelope addressed to:
MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Dated: November 3, 2006

Signature: (Joseph W. Ragusa)

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Dated: November 3, 2006 Signature: 
(Joseph W. Ragusa)

Docket No.: E3331.0487
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Gregory D. Mills et al.

Application No.: 09/898,305

Filed: June 29, 2001

For: CREDIT HANDLING IN AN
ANONYMOUS TRADING



Confirmation No.: 2317

Art Unit: 3628

Examiner: Sara M. Chandler

AMENDMENT IN RESPONSE TO NON-FINAL OFFICE ACTION

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated May 3, 2006, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Amendments to the Drawings begin on page 13 of this paper and include an attached replacement sheets.

Remarks/Arguments begin on page 14 of this paper.